



# 529 College Savings Plan Account Application

### IMPORTANT INFORMATION:

- **You or your financial professional can now open certain new accounts online. Visit franklintempleton.com for more details.**
- Complete this application to establish a Franklin Templeton 529 College Savings Plan Account.
- A separate application MUST be completed for each Plan Account established by an Account Owner, including a Plan Account established for a different Beneficiary.
- **New Jersey Account Owners:** To learn about a grant opportunity offered by the New Jersey Higher Education Student Assistance Authority (HESAA), refer to Section 11.
- **PLEASE NOTE:** The state in which the Account Owner, Beneficiary, or (if applicable) Third-Party Contributor resides may have a 529 Plan that offers tax and other benefits exclusively to residents who participate in that state's plan. Please make sure you discuss all options with your financial professional prior to investing.
- **IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT.** To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. **If you fail to provide all requested information, it may delay or prevent us from opening an account and making your requested investment(s), and if after your account is open we are unable to verify the information you provide, we may close your account.**

If completing by hand, please print clearly in CAPITAL LETTERS using blue or black ink.

If applicable, provide any Franklin Templeton CASE NUMBER(S) related to your request: \_\_\_\_\_

## 1 ACCOUNT OWNER INFORMATION

- Provide information about the Account Owner who will control the Plan Account for the Beneficiary.
- **Required for the Account Owner: Full name, Social Security number (or ITIN),<sup>1</sup> date of birth and street address (include any APT, BLDG, STE number).**
- If the Account Owner is a Custodianship/Trust, provide the full name, Social Security number and date of birth for the Trustee authorized to act on behalf of the Custodianship/Trust.

Account Owner/Trustee first name \_\_\_\_\_ M.I. \_\_\_\_\_ Last name \_\_\_\_\_ Suffix \_\_\_\_\_ SSN/ITIN \_\_\_\_\_ Date of birth (mm/dd/yyyy) \_\_\_\_\_

Street address of residence (no P.O. Box address) \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Mailing address (if different from above) \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Email address<sup>2</sup> \_\_\_\_\_ Primary phone number (\_\_\_\_) \_\_\_\_\_ Alternate phone number (\_\_\_\_) \_\_\_\_\_

Select your employment status:  Employed  Self-Employed  Retired  Not Employed

Occupation Title \_\_\_\_\_ Employer name \_\_\_\_\_

Employer address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

### IF APPLICABLE: UTMA/UGMA or Trust Registration

#### Select ONE of the following:

The account will be opened as an **UGMA/UTMA 529**.<sup>3</sup> Please provide the state where the UGMA/UTMA account was established: \_\_\_\_\_ State

The account will be opened as a **Trust**:

Trust name \_\_\_\_\_ Trust TIN \_\_\_\_\_

**IMPORTANT:** When establishing a trust account, provide photocopies of the trust document: title, signature, and trustee pages.

1. You must provide your U.S. Taxpayer Identification Number (TIN); a TIN includes the Social Security number (SSN), an IRS Individual Taxpayer Identification Number (ITIN) or an Employer Identification Number (EIN).

2. If you currently receive any electronic communications/documents from Franklin Templeton, future communications/documents will be sent to the email address provided on this form, replacing any prior email address on file.

3. Any additional contributions made to a 529 Plan Account opened with assets transferred or rolled over from an UGMA/UTMA account will be subject to the ownership laws of the state where the UGMA/UTMA was established. There may be advantages to directing subsequent contributions to a separate 529 Plan Account. Please see the *Program Description* for more information.

## 2 BENEFICIARY INFORMATION

Beneficiary first name	M.I.	Last name	Suffix	SSN/ITIN	Date of birth (mm/dd/yyyy)

Street address of residence  
(if different from Account Owner address – no P.O. Box address)

	City	State	ZIP

Relationship, if any, between the Beneficiary and the Account Owner:  
 Family Member  Self  Other (specify relationship) \_\_\_\_\_

## 3 SUCCESSOR ACCOUNT OWNER INFORMATION (optional)

You may name a Successor Account Owner, unless your Plan Account is funded with proceeds from an UGMA/UTMA account or a trust is named as the Account Owner. The Successor Account Owner must be age 18 or older and takes the place of the Account Owner only in the event of the Account Owner's death and has all the rights and responsibilities of the original Account Owner with regard to the Plan Account, including the ability to make deposits to the Plan Account, the right to make withdrawals from the Plan Account, the right to change the Beneficiary of the Plan Account, and the responsibility for the Account Owner's tax liability in the event of a withdrawal. See the *Program Description* for details.

Successor Account Owner first name	M.I.	Last name	Suffix	SSN/ITIN	Date of birth (mm/dd/yyyy)

Email address \_\_\_\_\_ Primary phone number \_\_\_\_\_  
( )

Street address of residence  
(if different from Account Owner address – no P.O. Box address)

	City	State	ZIP

OR

Trust Name	Trust date (mm/dd/yyyy)

## 4 ELECTRONIC DELIVERY (eDELIVERY)

To receive your statements, notifications,<sup>4</sup> and tax documents by eDelivery, register for online account access. Check the box below to receive an email with instructions on how to register your account online and complete the eDelivery enrollment process. **IMPORTANT: Your email address is required in Section 1.**

Yes, send me instructions on how to register for online access on my account.

**Note:** If you do not register for online access you will receive statements, notifications<sup>4</sup> and tax documents to your mailing address.

## 5 BANK INFORMATION FOR ELECTRONIC SERVICES

Please establish electronic transfers to or from my bank account. Only one bank account can be linked to my Franklin Templeton account(s) for purchases and redemptions. If my bank or credit union is not an Automated Clearing House (ACH) member, this service is not available.

- These bank instructions will be established for purchases, automatic investment plan transfers and redemptions.
- Any bank account owner who is not an owner of the Franklin Templeton 529 College Savings Plan Account must sign in Section 12.
- No checks? Include a preprinted savings account deposit slip or letter from your bank, on its letterhead and signed by an officer. The deposit slip or letter must include the bank account registration, account number, account type and bank routing number. Do not staple to the application. Handwritten information on the savings deposit slip or bank letterhead is not acceptable.

Select ONE of the following options:

- Use my enclosed letter from my bank.
- Use my enclosed preprinted voided check.
- Use my enclosed preprinted checking deposit slip.
- Use my enclosed preprinted savings deposit slip.
- Use my enclosed personal investment check. (If more than one investment check is enclosed, please provide the appropriate bank information below).

Bank routing number (9 digits)	Bank account number

4. Notifications may include transaction confirmations, prospectuses, shareholder reports, proxy materials, *Program Description* and other notifications.

- The total minimum investment is **\$250** per Plan Account or a minimum of **\$25** if the Plan Account(s) will be funded by Automatic Investment Plan.

**NOTE:** Minimum Initial Investment is not applicable to Payroll Deduction.

- New Jersey Account Owners:** To learn about a grant opportunity offered by HESAA to match your first account contribution, refer to Section 11.

How would you like to make your initial investment? **SELECT ALL THAT APPLY:**

- A. One-time electronic purchase from the bank account identified in Section 5. \$ \_\_\_\_\_
- B. Automatic Investment Plan (Complete Sections 5 and 8).
- C. Payroll Deduction Direct Deposit.
  - **Return a completed 529 Payroll Deduction Form with your 529 Application**
- D. By Check – Make check(s) payable to Franklin Templeton 529 College Savings Plan or the name of the portfolio. \$ \_\_\_\_\_
- E. Rollover/Transfer from another 529 Program, Coverdell ESA, Series EE or I Savings Bond, UGMA/UTMA or other investment and instructing Franklin Templeton to contact my prior program’s manager or the custodian of my investment to transfer my contribution.
  - **Return a completed 529 Rollover/Transfer Request Form with your 529 Application**
- F. I am enclosing a check from another 529 Program, Coverdell ESA, Series EE or I Savings Bond, UGMA/UTMA Custodial Account, for rollover/transfer into my Franklin Templeton 529 Plan Account. (Endorse check from prior program or make new check payable to “Franklin Templeton 529 College Savings Plan.”) You may complete only one rollover/transfer contribution per Beneficiary within a 12-month period.
  - **If you select F, specify the source of your rollover/transfer by selecting ALL that apply below**

529 PROGRAM/COVERDELL ESA	UGMA/UTMA ACCOUNT (NOT INCLUDING UGMA/UTMA-REGISTERED 529 PROGRAM ACCOUNTS)	SERIES EE OR I SAVINGS BOND OR OTHER INVESTMENTS
<input type="checkbox"/> I am funding this Plan Account with assets from another Section 529 Program or Coverdell ESA. <input type="checkbox"/> Some or all of my existing Section 529 Program assets came from an UGMA/UTMA established in the State of _____.	<input type="checkbox"/> I am funding this Plan Account with assets from an UGMA/UTMA that was established for the same Beneficiary listed in Section 2 of this application. UGMA/UTMA was established in the State of _____.	<input type="checkbox"/> I am funding this Plan Account with assets from a qualified Series EE or I Savings Bond. <input type="checkbox"/> I am funding this Plan Account with assets from other investments.
<input type="checkbox"/> The <b>Beneficiary is the same</b> for both programs. <input type="checkbox"/> The <b>Beneficiary is different</b> but is a <b>qualified “member of the family”</b> of the previous Beneficiary.	<b>NOTE:</b> Special Considerations are related to transfers from UGMA/UTMA accounts. Please see the <i>Program Description</i> for more information.	<b>EE or I Savings Bond:</b> Provide a copy of Form 1099-INT or an account statement from the prior financial institution showing the breakdown of principal and earnings for the intended investment transfer.

**IMPORTANT:** Provide a copy of an account statement from the prior financial institution that shows the breakdown of investment portion and earnings if rollover/transfer contribution is from a 529 Program or Coverdell ESA.

**INFORMATION CONCERNING BREAKDOWN OF PRINCIPAL AND EARNINGS**

The earnings portion of amounts distributed from another 529 Program or Coverdell ESA must be treated as earnings in the Plan Account. Unless otherwise permitted in connection with interest treated as taxable income in the year of the transfer, any amount of interest accrued on Series EE or I Savings Bonds must be treated as earnings in the Plan Account. Please provide the required documentation to evidence the breakdown of investment portion and earnings of the investment you are rolling over. If you do not provide a breakdown of your contribution by investment portion and earnings portion, the entire amount of the contribution may be treated as earnings that may be taxable upon withdrawal.

- Your initial contribution to your Plan Account will be invested in the Trust portfolio(s) based on the allocation selection below.
- **If no share class is specified, Class A Trust shares will be purchased.**
- **You must have a broker-dealer to purchase Class C Trust shares. To designate a broker-dealer, complete Section 13.**
- **Advisor Class Trust shares are available only to certain, eligible investors.** See the *Program Description* for details.

- The Age-Based Asset Allocations have been designed for savings intended for qualified higher education (i.e., college) expenses, not for elementary or secondary education tuition expenses.
- The Franklin U.S. Government Money 529 Portfolio is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency and it is possible to lose money by investing in the portfolio.

Investment Option	Share Class			Allocation or Percentage	
	A	C	Adv		
<b>Age-Based Asset Allocations</b>					
Franklin Conservative Allocation 529 Portfolio (age-based)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Moderate Allocation 529 Portfolio (age-based)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Growth Allocation 529 Portfolio (age-based)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
<b>Objective-Based Asset Allocations</b>					
Franklin Conservative Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Conservative Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Moderate Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Moderate Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Aggressive Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %

Investment Option	Share Class			Allocation or Percentage	
	A	C	Adv		
<b>Individual Portfolios</b>					
Ariel 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
BrandywineGLOBAL Global Opportunities 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
ClearBridge Large Cap Value 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
ClearBridge International Growth 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
ClearBridge Sustainability Leaders 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin DynaTech 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Growth 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Income 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Small-Mid Cap Growth 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin U.S. Large Cap Index 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin U.S. Government Money 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>		\$ _____	_____ %
Martin Currie International Sustainable Equity 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Western Asset Short-Term Bond 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Western Asset Core Plus Bond 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
<b>TOTAL</b> (must equal dollar amount of contribution or electronic contribution)				\$ _____	<b>100%</b>

## 8 AUTOMATIC INVESTMENT PLAN

Complete this section to establish an Automatic Investment Plan directly FROM a bank account TO your Franklin Templeton 529 College Savings Plan portfolio(s).

- Franklin Templeton may take up to 15 days to establish your automatic investment. \$25 investment minimum per portfolio.
- If Frequency and Investment Date are not selected, we will default to monthly on the 20th.
- If the Investment Date falls on a non-business day, the transaction will be made on the following business day.
- Select only one investment date, unless you select twice a month as the frequency.
- If you select twice a month as the frequency, the selected dates must be at least 10 days apart. If you do not select two dates or select dates that are not 10 days apart, we will default to the 1st and the 15th.

Select ONE of the following:

- Apply to all portfolio(s) selected in Section 7. \$  per portfolio. Specify your start month, frequency and investment date below.

START MONTH	FREQUENCY (select one)	INVESTMENT DATE
<input type="text"/>	<input type="checkbox"/> monthly <input type="checkbox"/> quarterly	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 20th
	<input type="checkbox"/> twice a month <input type="checkbox"/> annually	<input type="checkbox"/> 5th <input type="checkbox"/> 15th <input type="checkbox"/> 25th

OR

- Specify a different start month, frequency and investment date(s) for only the portfolio(s) listed below.

SHARE CLASS	PORTFOLIO NAME OR PORTFOLIO NUMBER	AMOUNT	START MONTH	FREQUENCY (select one)	INVESTMENT DATE
<input type="checkbox"/> A <input type="checkbox"/> C <input type="checkbox"/> Adv	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/> monthly <input type="checkbox"/> quarterly <input type="checkbox"/> twice a month <input type="checkbox"/> annually	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 5th <input type="checkbox"/> 15th <input type="checkbox"/> 25th
<input type="checkbox"/> A <input type="checkbox"/> C <input type="checkbox"/> Adv	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/> monthly <input type="checkbox"/> quarterly <input type="checkbox"/> twice a month <input type="checkbox"/> annually	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 5th <input type="checkbox"/> 15th <input type="checkbox"/> 25th
<input type="checkbox"/> A <input type="checkbox"/> C <input type="checkbox"/> Adv	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/> monthly <input type="checkbox"/> quarterly <input type="checkbox"/> twice a month <input type="checkbox"/> annually	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 5th <input type="checkbox"/> 15th <input type="checkbox"/> 25th
<input type="checkbox"/> A <input type="checkbox"/> C <input type="checkbox"/> Adv	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/> monthly <input type="checkbox"/> quarterly <input type="checkbox"/> twice a month <input type="checkbox"/> annually	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 5th <input type="checkbox"/> 15th <input type="checkbox"/> 25th
<input type="checkbox"/> A <input type="checkbox"/> C <input type="checkbox"/> Adv	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/> monthly <input type="checkbox"/> quarterly <input type="checkbox"/> twice a month <input type="checkbox"/> annually	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 20th <input type="checkbox"/> 5th <input type="checkbox"/> 15th <input type="checkbox"/> 25th

## 9 SALES CHARGE REDUCTIONS FOR CLASS A TRUST SHARES

Franklin Templeton offers two ways for you to combine your current purchase of Class A Trust shares with other existing Franklin Templeton fund share holdings that may enable you to qualify for a lower sales charge with your current purchase. You can qualify for a lower sales charge when you reach certain "sales charge breakpoints."

- CUMULATIVE QUANTITY DISCOUNT FOR CLASS A TRUST SHARES** (Please provide statement copies for any Franklin Templeton mutual fund holdings that are not held directly with Franklin Templeton).

I have reviewed the cumulative quantity discount provision of the *Program Description* and understand that I can combine the amount of my current purchase of Class A Trust shares with any existing holdings that the *Program Description* describes as "cumulative quantity discount eligible shares" to determine if I can qualify for a reduced sales charge breakpoint. I also understand that if there are any existing cumulative quantity discount eligible shares that I want combined with my current purchase, I must identify the account(s) in which they are held below or they will not be considered in determining if my current purchase qualifies for a reduced sales charge breakpoint.

I have reviewed the *Program Description* and believe that cumulative quantity discount eligible shares are held in the following account(s).

Fund-account number(s)	Cumulative value of eligible shares
<input type="text"/>	\$ <input type="text"/>

- LETTER OF INTENT FOR CLASS A TRUST SHARES**

I intend to purchase additional shares issued by one or more Franklin Templeton funds or portfolios over a 13-month period following my initial purchase in order to be eligible for a sales charge discount on my purchase of Class A Trust shares. I agree to the terms of the Letter of Intent described in the *Program Description* and applicable prospectus(es) and grant Franklin Distributors, LLC a security interest in the shares to be reserved. Although I am not obligated to do so, the aggregate amount of Franklin Templeton Class A and Class A Trust shares I intend to purchase over the 13-month period will be in an aggregate amount at least equal to:

\$50,000<sup>5</sup>     \$100,000     \$250,000     \$500,000     \$1,000,000<sup>5</sup>

List the Franklin Templeton fund-account numbers that should be included in your Letter of Intent.

## 10 ONLINE AND TELEPHONE PRIVILEGES

You and your financial professional automatically have the convenience of Online and Telephone Exchange and Redemption privileges unless you check below. If bank information is identified in Section 5, you and your financial professional have the convenience of Online and Telephone Purchases and Redemptions via electronic funds transfer, UNLESS you check below. You cannot opt out of telephone privileges and opt in for online privileges, or vice versa. Review your *Program Description* for a discussion of these privileges.

- I do **NOT** want Online and Telephone Exchange Privileges.
- I do **NOT** want Online and Telephone Redemption Privileges (if you decline this privilege, the Online and Telephone Purchase Privileges will not be available).
- I do **NOT** want Online and Telephone Purchase Privileges (if you decline this privilege and accept the Online and Telephone Redemption Privileges, redemptions will only be available by check).

5. Not applicable to all funds/portfolios.

(continued)

Questions? Contact your financial professional, visit franklintempleton.com or call us at (866) 362-1597.

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**11 NEW JERSEY RESIDENTS ONLY – NJBEST MATCHING GRANT OPPORTUNITY**

Account Owners who are New Jersey residents that open new Franklin Templeton 529 College Savings Plan Accounts on or after June 29, 2021, may be eligible for a one-time grant from the New Jersey Higher Education Student Assistance Authority (HESAA) of a dollar-for-dollar match of up to \$750 of their first account contribution, if the Account Owner has a household adjusted gross income between \$0 and \$75,000. Certain other conditions apply, see the *Program Description* for more details.

I am a New Jersey resident with a household adjusted gross income between \$0 and \$75,000. Please send me information from HESAA about how to apply for the grant.

**12 AUTHORIZATION AND SIGNATURE**

**Participants must READ the *Program Description* and the Participation Agreement that is Appendix A of the *Program Description* and SIGN this section in ink below.** Certain capitalized terms are used as defined for purposes of the *Program Description*.

- I understand that this plan is offered and administered by the New Jersey Higher Education Student Assistance Authority (HESAA) and managed and distributed by Franklin Distributors, LLC, an affiliate of Franklin Resources, Inc., which operates as Franklin Templeton. No federal or state guarantee. Principal value may be lost and investing in the plan does not guarantee admission to college or sufficient funds for college.
- I have read and understand the terms and conditions of the Plan as described in this application and in the *Program Description* as currently in effect. I understand that the Plan may, from time to time, amend the *Program Description*. I agree that my Plan Account will at all times be governed by the terms and conditions contained in the *Program Description*, as amended from time to time, which are expressly incorporated by reference into this application.
- The information provided on this application is true, correct and complete. You may verify this information with others, including third-party credit reporting agencies and databases and U.S. and/or foreign government agencies, and if you are unable to verify my information, you are authorized to close my account by redeeming shares at the then applicable net asset value.
- If I request transfers to or from my bank account identified in Section 5 of this application or at any time, including by telephone, electronically or otherwise, you are authorized to make those requested transfers (and to make, if necessary, adjusting transfers if any amounts are transferred in error). I agree that Franklin Templeton may make additional attempts to debit/credit the account if the initial attempt fails, and if a transfer is denied by the Bank for any reason, Franklin Templeton will discontinue this authorization. I understand that I can end this authorization at any time by notifying you in writing, by telephone or by terminating on franklintempleton.com. If I am an owner of the bank account identified in Section 5 of this application, I certify that my signature alone is sufficient to authorize debits from the bank account.
- To the best of my knowledge the total contributions made to the accounts established under the Program for the Beneficiary do not exceed \$305,000 or the cost in current dollars of qualified higher education expenses the Beneficiary is reasonably anticipated to incur, whichever is less.
- I agree that I am responsible for retaining documentation concerning my accounts. I understand that the federal and state tax treatment of amounts withdrawn may be dependent upon the availability of such documentation.
- My property may be transferred to the appropriate state if no activity/ communication occurs in the account within the time period specified under my state's unclaimed property laws.
- I understand that the state in which I or my Beneficiary or (if applicable) the Third-Party Contributor resides may have a 529 Plan that offers tax and other benefits to residents that may not be available to investors in the Franklin Templeton 529 College Savings Plan.
- When I call you regarding my shares and account(s) the telephone conversation may be recorded.
- I understand that none of the State of New Jersey, the New Jersey Higher Education Student Assistance Authority (HESAA), Franklin Templeton or any entity affiliated therewith, or any agent or representative retained in connection with the Plan, makes any guarantee of, or has any legal or moral obligation to insure, the ultimate payout of all or any portion of any amount contributed to any Account or that there will be an investment return at any particular level on any Account.
- You are authorized to provide any information about my account(s) to my broker-dealer or financial professional, and my broker-dealer or financial professional is authorized to provide any information about my account(s) to you.
- I will review all account statements and written notifications after each transaction affecting my account upon receipt, and will notify you immediately if there is a discrepancy.
- I hereby certify that I have read the portion of the *Program Description* entitled, "Opening, Maintaining and Contributing to an Account—Transfers and Rollovers" and understand the rules and regulations governing rollover contributions from another Section 529 Program. I also certify that any rollover contribution from another Section 529 Program is being made within 60 days of distribution from the former program and that I have not made a rollover contribution within the past 12 months to an account under the Franklin Templeton 529 College Savings Plan or any other Section 529 Program that has the Beneficiary as its beneficiary.
- I understand that digital communication channels are not necessarily secure. If I do choose to send confidential or sensitive information to you via digital communication channels (e.g., email, chat, text messaging, fax), I am accepting the associated risks related to potential lack of security, such as the possibility that my confidential or sensitive information may be intercepted/accessed by a third-party and subsequently used or sold.

**FRANKLIN TEMPLETON 529 COLLEGE SAVINGS PLAN ACCOUNT OWNER'S SIGNATURE ONLY**

The Account Owner named in Section 1 must sign this application.

**X** \_\_\_\_\_ Date \_\_\_\_\_  
 Franklin Templeton 529 College Savings Plan Account Owner

**BANK ACCOUNT OWNER(S) SIGNATURE(S) ONLY**

Any bank account owner who is not an owner of the Franklin Templeton 529 College Savings Plan Account must sign below.

**X** \_\_\_\_\_ Date \_\_\_\_\_ **X** \_\_\_\_\_ Date \_\_\_\_\_  
 Bank Account Owner Signature Bank Account Owner Signature

For a broker-dealer to be added to a 529 Plan Account, the broker-dealer must have an effective 529 Plan selling addendum or other 529 Plan selling agreement with Franklin Distributors, LLC ("Distributors"). **This section must be signed by a FINRA registered principal of the firm for the listed securities dealer.**

This application for the purchase of Trust shares complies with the terms of our 529 Plan selling agreement or 529 addendum with Distributors and with the current 529 Plan *Program Description*. We agree to notify Distributors of any purchases of shares which may be eligible for reduced or eliminated charges.

**Select ONE of the following options, if applicable:**

- A rollover purchase that will be made to this account is eligible for a waiver of the initial sales charge.
- The Account Owner participates in an Advisory Fee Program with the broker-dealer identified below and is eligible for Advisor Class Trust shares.

**SECURITIES DEALER**

Dealer name \_\_\_\_\_ Broker identification number \_\_\_\_\_

**FINANCIAL PROFESSIONAL**

First name, middle initial, last name \_\_\_\_\_ Suffix \_\_\_\_\_ Telephone number \_\_\_\_\_  
 \_\_\_\_\_ ( \_\_\_\_\_ ) \_\_\_\_\_

Email address \_\_\_\_\_ Dealer number \_\_\_\_\_ Branch number \_\_\_\_\_ Representative number \_\_\_\_\_

Branch address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Title \_\_\_\_\_

**X**

Authorized signature (Registered Principal for the Securities Dealer listed above)

**BEFORE YOU SUBMIT...**

**TO OPEN A 529 ACCOUNT – DID YOU PROVIDE?**

- A typed application or application handwritten in capital letters using blue or black ink.
- A Franklin Templeton case number related to your request on page 1 (if you were provided with one).

**SECTION 1**

- Full first and last name of Account Owner
- Street address (include any APT, BLDG, STE number)
- Social Security number/ITIN
- Date of Birth
- Email address
- Employment information
- Trust title, signature, and trustee pages (if applicable)

**SECTION 2**

- Full first and last name of Beneficiary
- Street address (include any APT, BLDG, STE number)
- Social Security number/ITIN
- Date of Birth

**SECTION 3**

- Full first and last name of Successor Account Owner
- Street address (include any APT, BLDG, STE number)
- Social Security number/ITIN
- Date of Birth
- Email address

**SECTION 4**

- eDelivery option

**SECTION 5**

- Pre-printed voided check, deposit slip or letter from your bank on the bank's letterhead included with your completed application

**SECTION 6**

- Select how you will fund the portfolio(s)
- Completed *529 Payroll Deduction Form* (if applicable)
- Completed *529 Rollover/Transfer Request Form* (if applicable)

**SECTION 7**

- Provide your investment option(s)
- If selecting multiple portfolios, allocation or percentages must total 100%

**SECTION 8**

- Portfolio name(s)
- Amount, start month, frequency and investment date for each

**SECTION 12**

- The signature of the Account Owner and date signed
- The signature of the Bank Account Owner(s) and date signed (if applicable)

**MAKE A PHOTOCOPY OF THE COMPLETED FORM FOR YOUR RECORDS**

EMAIL	FAX	MAIL
<ul style="list-style-type: none"><li>• Emails <b>MUST</b> include an attachment (PDF preferred) of your request.</li><li>• Sender's email address <b>MUST</b> match the email address on file, or the email <b>MUST</b> include a related case number(s) to be accepted.</li><li>• If you have not been registered on franklintempleton.com for at least 15 calendar days, call (866) 362-1597 to request a case number to reference in your email.</li></ul> <p><b>Financial professionals:</b> ftrequests@franklintempleton.com</p> <p><b>Shareholders:</b> shrequests@franklintempleton.com</p>	(855) 891-8377	You may use any of the below mailing addresses: <b>Regular Mail</b> <ul style="list-style-type: none"><li>• Franklin Templeton 529 College Savings Plan P.O. Box 33090 St. Petersburg, FL 33733-8090</li><li>• Franklin Templeton 529 College Savings Plan P.O. Box 997153 Sacramento, CA 95899-7153</li></ul> <b>Overnight</b> <ul style="list-style-type: none"><li>• Franklin Templeton 529 College Savings Plan 100 Fountain Parkway N. St. Petersburg, FL 33716-1205</li><li>• Franklin Templeton 529 College Savings Plan 3344 Quality Drive Rancho Cordova, CA 95670-7313</li></ul>







3344 Quality Dr.  
P.O. Box 2258  
Rancho Cordova, CA 95741-2258  
tel (800) 632-2301  
franklintempleton.com

## Your Privacy Is Our Priority

Franklin Templeton\* is committed to safeguarding your personal information. This notice is designed to provide you with a summary of the non-public personal information Franklin Templeton may collect and maintain about current or former individual investors; our policy regarding the use of that information; and the measures we take to safeguard the information. We do not sell individual investors' non-public personal information to anyone and only share it as described in this notice.

### Information We Collect

When you invest with us, you provide us with your non-public personal information. We collect and use this information to service your accounts and respond to your requests. The non-public personal information we may collect falls into the following categories:

- Information we receive from you or your financial intermediary on applications or other forms, whether we receive the form in writing or electronically. For example, this information may include your name, address, tax identification number, birth date, investment selection, beneficiary information, and your personal bank account information and/or email address if you have provided that information.
- Information about your transactions and account history with us, or with other companies that are part of Franklin Templeton, including transactions you request on our website or in our app. This category also includes your communications to us concerning your investments.
- Information we receive from third parties (for example, to update your address if you move, obtain or verify your email address or obtain additional information to verify your identity).
- Information collected from you online, such as your IP address or device ID and data gathered from your browsing activity and location. (For example, we may use cookies to collect device and browser information so our website recognizes your online preferences and device information.) Our website contains more information about cookies and similar technologies and ways you may limit them.
- Other general information that we may obtain about you such as demographic information.

### Disclosure Policy

To better service your accounts and process transactions or services you requested, we may share non-public personal information with other Franklin Templeton companies. From time to time, we may also send you information about products/services offered by other Franklin Templeton companies although we will not share your non-public personal information with these companies without first offering you the opportunity to prevent that sharing.

We will only share non-public personal information with outside parties in the limited circumstances permitted by law. For example, this includes situations where we need to share information with companies who work on our behalf to service or maintain your account or process transactions you requested, when the disclosure is to companies assisting us with our own marketing efforts, when the disclosure is to a party representing you, or when required by law (for example, in response to legal process). Additionally, we will ensure that any outside companies working on our behalf, or with whom we have joint marketing agreements, are under contractual obligations to protect the confidentiality of your information, and to use it only to provide the services we asked them to perform.

### Confidentiality and Security

Our employees are required to follow procedures with respect to maintaining the confidentiality of our investors' non-public personal information. Additionally, we maintain physical, electronic and procedural safeguards to protect the information. This includes performing ongoing evaluations of our systems containing investor information and making changes when appropriate.

At all times, you may view our current privacy notice on our website at <https://www.franklintempleton.com/help/privacy-policy> or contact us for a copy at (800) 632-2301.

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**\*For purposes of this privacy notice Franklin Templeton shall refer to the following entities:**

- Fiduciary Trust International of the South (FTIOS), as custodian for individual retirement plans
- Franklin Advisers, Inc.
- Franklin Distributors, LLC, including as program manager of the Franklin Templeton 529 College Savings Plan and the NJBEST 529 College Savings Plan
- Franklin Mutual Advisers, LLC
- Franklin, Templeton and Mutual Series Funds
- Franklin Templeton Institutional, LLC
- Franklin Templeton Investments Corp., Canada
- Franklin Templeton Investments Management, Limited UK
- Legg Mason Funds serviced by Franklin Templeton Investor Services, LLC
- Templeton Asset Management, Limited
- Templeton Global Advisors, Limited
- Templeton Investment Counsel, LLC

If you are a customer of other Franklin Templeton affiliates and you receive notices from them, you will need to read those notices separately.

## Business continuity planning information notice

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At Franklin Templeton, we recognize how heavily our clients rely on our services. We also recognize that the unexpected can and does occur, from simple outages to major incidents affecting multiple sites. We have successfully supported critical business activities during disruptions of normal business processes from both natural and man-made disasters, including hurricanes, fires, Super Storm Sandy to September 11th and other events. We want you to know that we have plans in place to help safeguard your assets and protect vital account information in the event of a business disruption.

Franklin Templeton and its affiliated companies, including Fiduciary Trust Company International and Franklin Distributors, LLC (“Franklin Templeton”) have Crisis Management, Business Continuity and technology Disaster Recovery plans in place. In addition, Franklin Templeton has dedicated business continuity planners on staff to assist in preparing and testing plans.

### Franklin Templeton contingency planning guidelines

Franklin Templeton plans are developed around specific corporate-wide guidelines. Plans include the ability to recover from various situations including but not limited to unplanned evacuations, power outages, fire, severe weather, intentional acts, and facilities failures that may cause interruptions to our business. Our plans are constructed to recover critical functions according to their time criticality. To maintain secure and effective plans, Franklin Templeton does not provide the specific details in this notice, but you should be aware that corporate disaster recovery planning includes the following:

- Identification and recovery of mission critical systems.
- Replication, backup and recovery for critical information.
- Alternate & redundant communications between Franklin Templeton and its customers.
- Alternate communications with and alternate locations for employees.
- Regulatory reporting and communications with regulators.
- Review of financial and operational risks.

### Franklin Templeton contingency planning and business recovery

Franklin Templeton actively identifies and seeks to mitigate risks to reduce potential issues and their impact. In the event of an outage or other site-specific problems, Franklin Templeton has plans in place to support recovery of its critical business systems and functions. In addition to the guidelines stated above, Franklin Templeton’s recovery plans also include the following:

- **Seamless client contact**—Franklin Templeton oversees pre-established and tested processes for rerouting of critical telephone and computer systems. Customers should experience minimal downtime in their ability to contact Franklin Templeton. Within a minimal period of time, customers would be able to re-attempt contact via published toll-free telephone numbers, or the website.
- **Access to your funds**—an outage affecting a given site should not impact your ability to access your funds, as business continuity plans are designed to help ensure sustained service. However, factors outside Franklin Templeton’s control, such as unplanned market closure which occurred following the September 11th tragedy, may impact our ability to service our customers.

Please note that Franklin Templeton business continuity plans which are critical to our operations are reviewed, updated and tested annually, to ensure they account for technology, business and regulatory changes. The plans are subject to change, and material changes to our approach will be reflected in an updated “Business Continuity Planning Information Notice” that will be posted on our website at [franklintempleton.com](http://franklintempleton.com).

